

CLAIMS RESOLUTION TRIBUNAL

In re Holocaust Victim Assets Litigation
Case No. CV96-4849

Certified Denial

to Claimant [REDACTED]
also acting on behalf of [REDACTED]

in re Accounts of Otto Rothschild

Claim Number: 200324/SB

This Certified Denial is based on the claim of [REDACTED], née [REDACTED], (the “Claimant”) to the published accounts of two individuals named Otto Rothschild (“Account Owner 1” and “Account Owner 2”) both held at the [REDACTED] (the “Bank”).

All denials are published, but where a claimant has requested confidentiality, as in this case, the names of the claimant, any relatives of the claimant other than the account owner, and the bank have been redacted.

Information Provided by the Claimant

The Claimant submitted a Claim Form asserting that her maternal grandfather, Otto Rothschild, who was born on 31 July 1891 in Munich, Germany, and was married to [REDACTED] on 4 October 1917 in Dresden, Germany, owned a Swiss bank account. The Claimant stated that her grandfather, who was Jewish, was a company director who resided in Munich until 1939, when he fled Germany to the United States. The Claimant further stated that her grandfather died on 27 November 1951 in New York, New York, the United States. The Claimant indicated that she was born on 1 August 1949 in London, England.

The Claimant submitted documents in support of her claim, including her grandfather’s marriage certificate, which indicates that his place of residence was Munich.

Information Available in the Bank’s Records

The CRT notes that the Claimant submitted a claim to an account belonging to her relative, Otto Rothschild. The auditors who carried out the investigation to identify accounts of Victims of Nazi Persecution pursuant to instructions of the Independent Committee of Eminent Persons (“ICEP” or the “ICEP Investigation”) reported two accounts whose owners’ names match that provided by the Claimant. Each account is identified below by its Account Identification Number, which is a number assigned to the account by the ICEP auditors for tracking purposes.

Account 1000390

The Bank's records indicate that Account Owner 1 was Otto Rothschild, who resided in Germany. The Bank's records also indicate Account Owner 1's two cities of residence in Germany and a second city and country of residence, together with the date of closing of the account at issue.

Account 1010961

The Bank's records indicate that Account Owner 2 was Otto Rothschild. The Bank's records also indicate Account Owner 2's city and country of residence and the date of opening of the account at issue.

The CRT's Analysis

Admissibility of the Claim

The CRT has determined that the claim is admissible according to Article 18 of the Rules Governing the Claims Resolution Process, as amended (the "Rules").

Identification of the Account Owners

As for Account 1000390, the CRT concludes that the Claimant has not identified Account Owner 1 as her relative. Although the name of her grandfather matches the published name of Account Owner 1, the information provided by the Claimant differs materially from the unpublished information about Account Owner 1 available in the Bank's records. Specifically, the Claimant stated that her grandfather resided in Munich, Germany. In contrast, the Bank's records show that Account Owner 1 resided in other cities in Germany and another country, none of which were identified by the Claimant. Consequently, the CRT is unable to conclude that Account Owner 1 and the Claimant's grandfather are the same person.

As for Account 1010961, the CRT concludes that the Claimant has not identified Account Owner 2 as her relative. Although the name of her grandfather matches the published name of Account Owner 2, the information provided by the Claimant differs materially from the unpublished information about Account Owner 2 available in the Bank's records. Specifically, the Claimant stated that her grandfather resided in Munich, Germany. In contrast, the Bank's records show that Account Owner 2 resided in another country, which the Claimant did not identify. Consequently, the CRT is unable to conclude that Account Owner 2 and the Claimant's grandfather are the same person.

Right of Appeal

Pursuant to Article 30 of the Rules, the Claimant may appeal this Denial to the Court through the Special Masters within ninety (90) days of the date of the letter accompanying this decision.

Appeals should be delivered to the following address: Office of Special Master Michael Bradfield, 51 Louisiana Ave., NW, Washington, DC 20001 USA.

The Claimant should send appeals in writing to the above address and should include all reasons for the appeal. If more than one account has been denied in this Certified Denial, the Claimant should identify the Account Identification Number, which forms the basis of the appeal. Appeals submitted without either a plausible suggestion of error or relevant new evidence may be summarily denied.

Scope of the Denial

The Claimant should be aware that the CRT will carry out further research on her claim to determine whether an award may be made based upon the information provided by the Claimant or upon information from other sources.

Certification of the Denial

The CRT certifies this Denial for approval by the Court.

Claims Resolution Tribunal
8 August 2006