

# CLAIMS RESOLUTION TRIBUNAL

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In re Holocaust Victim Assets Litigation  
Case No. CV96-4849

## **Certified Award**

to Claimant [REDACTED]

**in re Account of Luigi Broggi**

Claim Number: 734195/WT<sup>1</sup>

Award Amount: 56,466.25 Swiss Francs

This Certified Award is based upon the claim of [REDACTED] (the “Claimant”) to the accounts of Antonino Broggi, Beatrice Broggi, Bice Broggi, Carletto Broggi and Carluccio Broggi.<sup>2</sup> This Award is to the unpublished account of Luigi Broggi (the “Account Owner”) at the Lausanne branch of the [REDACTED] (the “Bank”).

All awards are published, but where a claimant has requested confidentiality, as in this case, the names of the claimant, any relatives of the claimant other than the account owner, and the bank have been redacted.

## **Information Provided by the Claimant**

The Claimant submitted an Initial Questionnaire identifying the Account Owner as his father, Luigi Broggi, who was born on 4 January 1921 in Cormano, Italy. The Claimant indicated that his father, who was Jewish, and his father’s family were forced to perform slave labor in Italy during the Second World War, and that he later escaped to Ticino, Switzerland. The Claimant indicated that his father died in 1990 in Busto Arsizio, Italy. Finally, the Claimant indicated that he was born on 20 September 1953.

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<sup>1</sup> [REDACTED] (the “Claimant”) did not submit a Claim Form to the CRT. However, in 1999 he submitted an Initial Questionnaire (“IQ”), numbered ITA-0002-096, to the Court in the United States. Although this IQ was not a Claim Form, the Court, in an Order signed on 30 July 2001, ordered that those Initial Questionnaires which can be processed as claim forms be treated as timely claims. Order Concerning Use of Initial Questionnaire Responses as Claim Forms in the Claims Resolution Process for Deposited Assets (July 30, 2001). The IQ was forwarded to the CRT and has been assigned claim number 734195.

<sup>2</sup> The CRT did not locate an account belonging to Antonino Broggi, Beatrice Broggi, Bice Broggi, Carletto Broggi and Carluccio Broggi in the Account History Database prepared pursuant to the investigation of the Independent Committee of Eminent Persons (“ICEP” or “ICEP Investigation”), which identified accounts probably or possibly belonging to Victims of Nazi Persecution, as defined in the Rules Governing the Claims Resolution Process, as amended (the “Rules”).

## **Information Available in the Bank's Records**

The Bank's records consist of a dormant account card and printouts from the auditors who carried out the investigation of this bank to identify accounts of Victims of Nazi Persecution pursuant to instructions of the Independent Committee of Eminent Persons ("ICEP" or the "ICEP Investigation"). According to these records, the Account Owner was Luigi Broggi. The Bank's records do not indicate the Account Owner's domicile. The Bank's records indicate that the Account Owner held one account, the type of which is not indicated. According to these records, the account had been dormant for at least two years, the Account Owner's whereabouts and circumstances were unknown, and the account was thus being held for the benefit of his heirs. The Bank's records indicate that the account was transferred to a collective suspense account on 15 November 1982. The amount in the account on the date of its transfer was 3,932.30 Swiss Francs ("SF"). The account remains suspended.

## **The CRT's Analysis**

### Identification of the Account Owner

The Claimant's father's name matches the unpublished name of the Account Owner. The CRT notes that the Bank's records do not contain any specific information about the Account Owner other than his name. The CRT notes that there are no other claims to this account. Taking all of these factors into account, the CRT concludes that the Claimant has plausibly identified the Account Owner.

### Status of the Account Owner as a Victim of Nazi Persecution

The Claimant has made a plausible showing that the Account Owner was a Victim of Nazi Persecution. The Claimant stated that the Account Owner was Jewish, and that he was forced to perform slave labor during the Second World War before he escaped to Switzerland.

### The Claimant's Relationship to the Account Owner

The Claimant has plausibly demonstrated that he is related to the Account Owner by submitting specific biographical information, demonstrating that the Account Owner was the Claimant's father. There is no information to indicate that the Account Owner has other surviving heirs. The CRT further notes that the Claimant identified unpublished information about the Account Owner as contained in the Bank's records. The CRT notes that the foregoing information is of the type that family members would possess and indicates that the Account Owner was well known to the Claimant as a family member, and all of this information supports the plausibility that the Claimant is related to the Account Owner, as he has asserted in his Claim Form.

### The Issue of Who Received the Proceeds

The Bank's records indicate that the account was transferred to a collective suspense account on 15 November 1982, and that it remains suspended.

### Basis for the Award

The CRT has determined that an Award may be made in favor of the Claimant. First, the claim is admissible in accordance with the criteria contained in Article 18 of the Rules Governing the Claims Resolution Process, as amended (the “Rules”). Second, the Claimant has plausibly demonstrated that the Account Owner was his father, and that relationship justifies an Award. Third, the CRT has determined that neither the Account Owner nor his heirs received the proceeds of the claimed account.

### Amount of the Award

In this case, the Account Owner held one account of unknown type. The Bank’s records indicate that the value of the account as of 15 November 1982 was SF 3,932.30. In accordance with Article 31(1) of the Rules, this amount is increased by an adjustment of SF 585.00, which reflects standardized bank fees charged to the account between 1945 and 1982. Consequently, the adjusted balance of the account at issue is SF 4,517.30. The current value of the amount of the award is determined by multiplying the adjusted balance by a factor of 12.5, in accordance with Article 31(1) of the Rules, to produce a total award amount of SF 56,466.25.

### **Scope of the Award**

The Claimant should be aware that, pursuant to Article 20 of the Rules, the CRT will carry out further research on his claim to determine whether there are additional Swiss bank accounts to which he might be entitled, including research of the Total Accounts Database (consisting of records of 4.1 million Swiss bank accounts which existed between 1933 and 1945).

### **Certification of the Award**

The CRT certifies this Award for approval by the Court and payment by the Special Masters.

Claims Resolution Tribunal  
12 October 2007